



Annex 61 – Technical Day

Cost-efficient Building Energy Retrofit

The Case of Karlsruhe-Rintheim

R. Jank



**The residential neighborhood
Karlsruhe-Rintheim**
- Overview -

Rintheim 2014





Heilbronner Str. 33-37
(before retrofit)



Heilbronner Str. 33-37
(after retrofit)



West facade after retrofit:



Rintheim Residential Neighborhood: **Basic Data**

Settlement area: 0.25 km² Floor Area Ratio (FAR): 0.38
45 buildings 1,308 flats 87,000 m² living area

ca. 3,000 tenants

Construction: **1954 – 1956**
 1967 – 1971

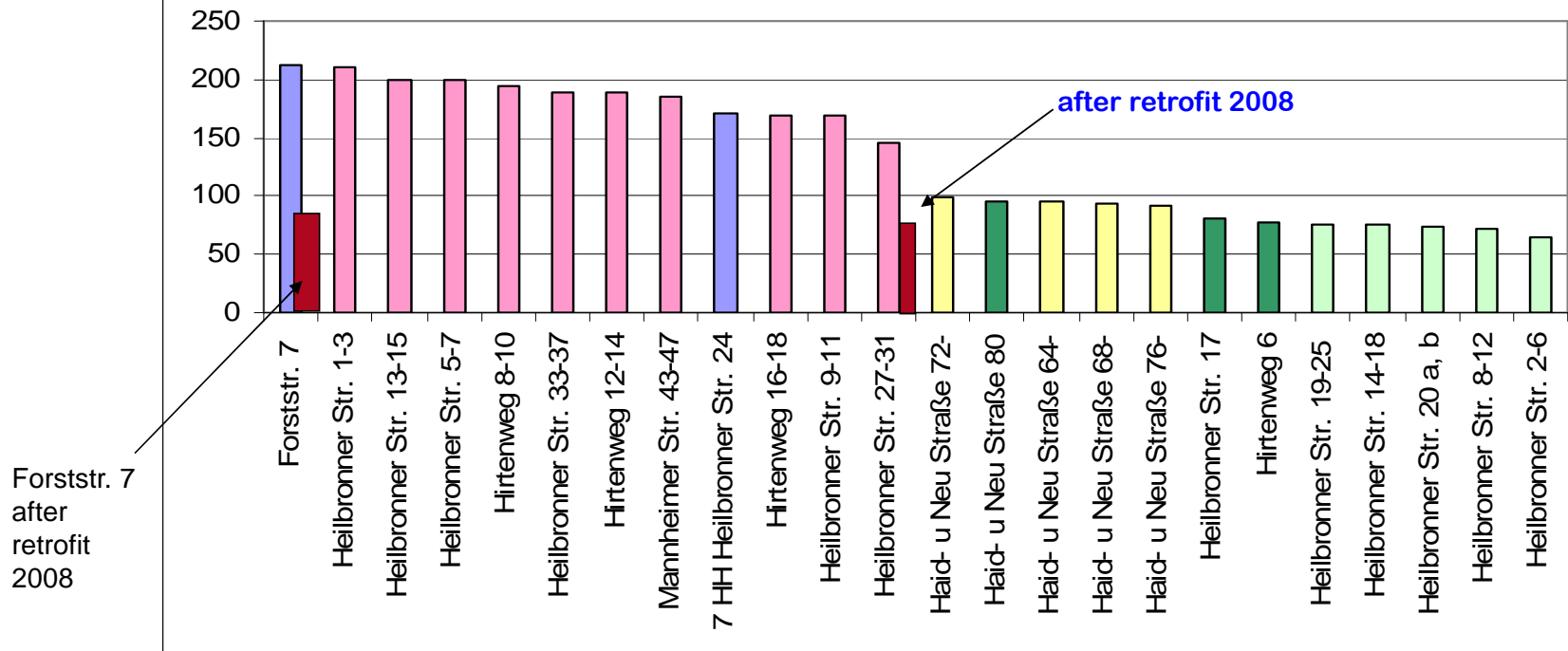
Climate:

	Degree Days	HDD
Rintheim	3,277 Kd	2,054 Kd
German average	3,814	2,479

Neighborhood refurbishment project: 2008 – 2015

Retrofit costs: **> 70 mio. €**

Heating/DHW thermal energy use 2007 (kWhth/m²)



- Year of construction: 1954/55, no retrofit, 4-5 storeys, individual heating
- 1974, no retrofit, 12 storeys, Gas heating plant
- 1954, partial retrofit, 4 storeys, Gas heating plant
- 1956, partial retrofit, 9 storeys, Gas heating plant
- 1955, refurbished, 4 storeys, Gas heating plant, solar collector panels for DHW

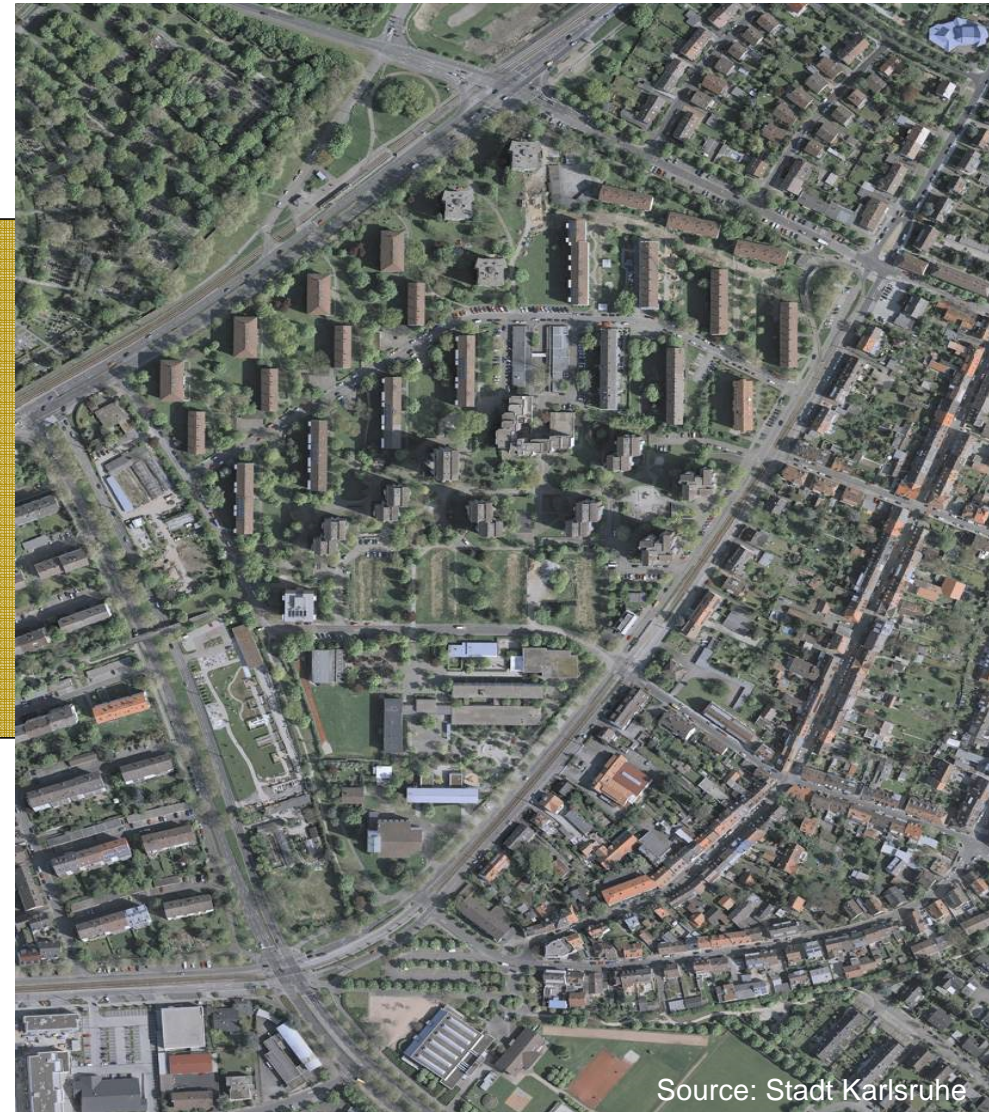
30 Buildings (VoWo):

- 16 no retrofit
- 7 partial retrofit
- 7 full refurbishment

Overall Energy Optimization:

- Minimization of total costs for energy supply by use of holistic planning approach
- Energy controlling and optimization
- Demonstration of unconventional techniques
- Evaluation of technical experiences
- proof of agreed energy goals

... the aim is to keep the resulting total rents (rent plus energy costs) as low as possible.



Source: Stadt Karlsruhe

before ...



from

$$q_H + q_{DHW} + q_I \approx 170 \text{ kWh}_{th}/m^2$$

$$pe = 220 \text{ kWh}_{PE}/\text{kWh}_{EE}$$

to

$$q_H + q_{DHW} + q_I \approx 70 \text{ kWh}_{th}/m^2$$

$$pe = 42 \text{ kWh}_{PE}/m^2$$

... after retrofit

... before retrofit



Optimization (1)

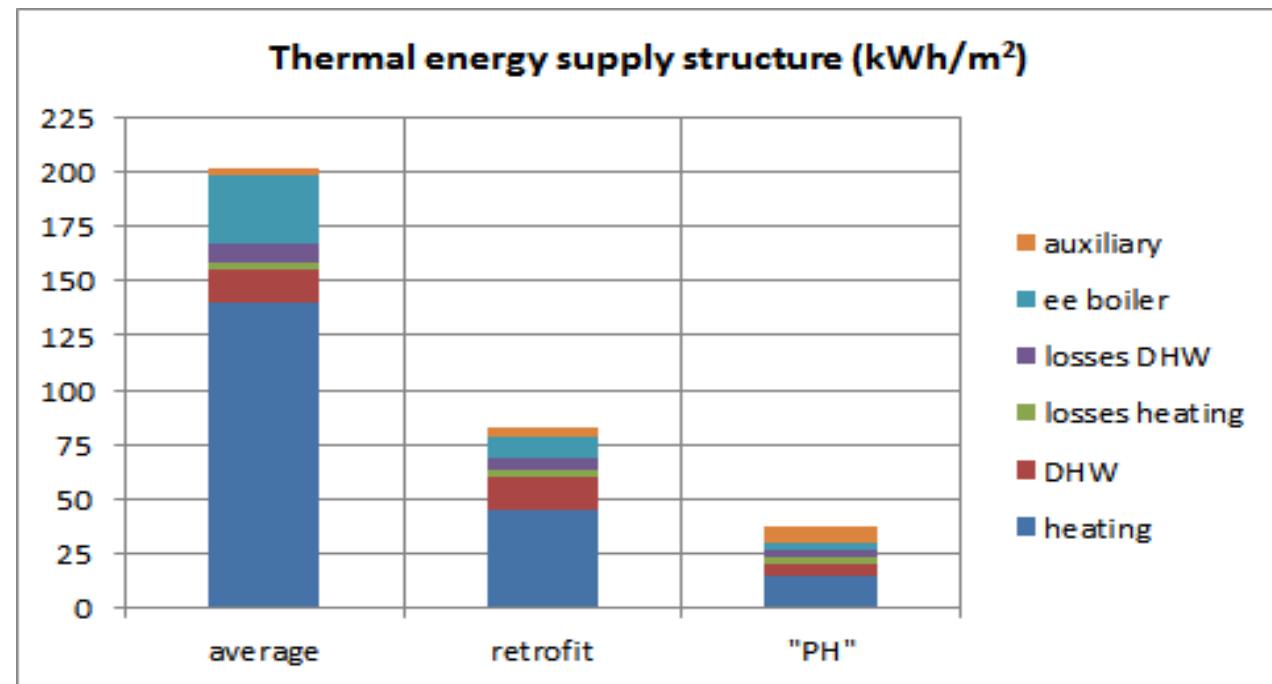
Building retrofit

Technical options in building energy conservation:

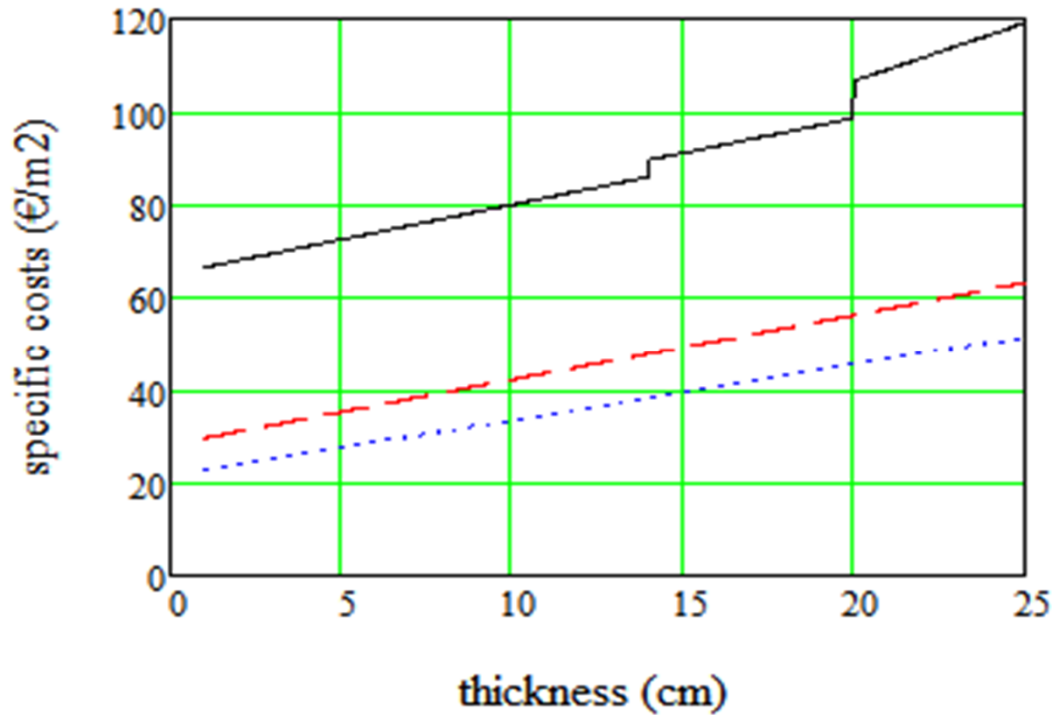
- facade insulation
- windows exchange
- distribution losses
- boiler efficiency
- ventilation heat loss recovery
- solar collectors
- heat pump
- ...

Question:
- cost efficiency?
- optimization?

Example: residential building retrofit



Optimization: costs and benefits

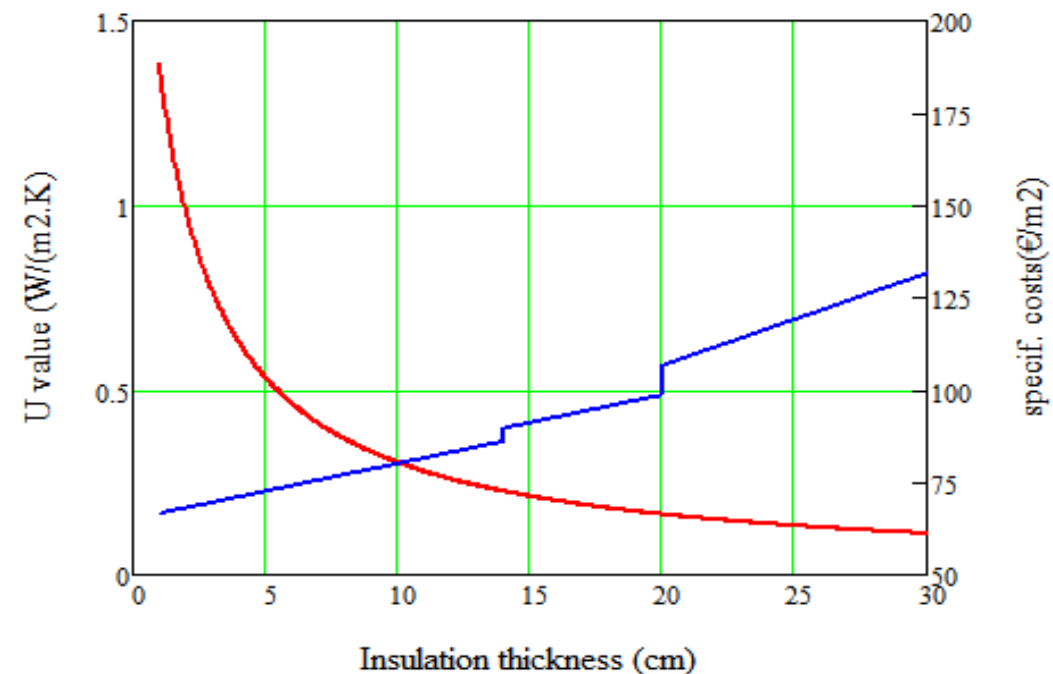


Insulation costs: (€/m²)

- wall
- Attic floor
- basement ceiling

Annual energy savings through wall insulation:

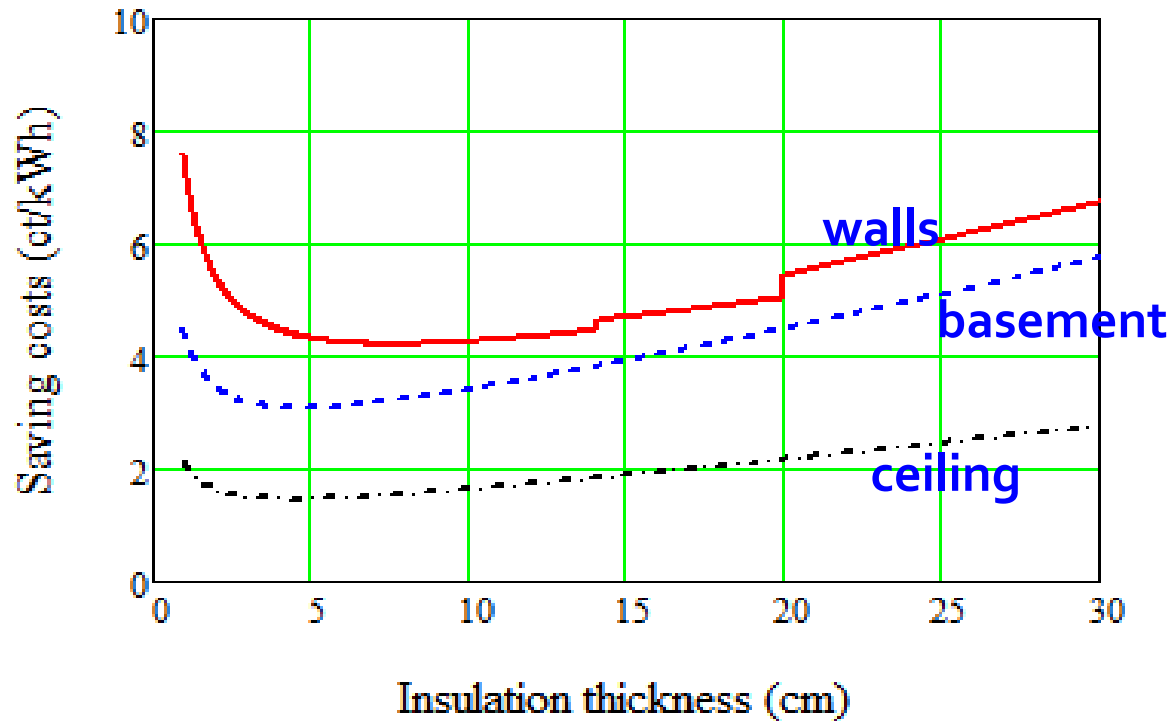
$$\Delta q_T = \frac{24}{1000} \cdot (U_W - U(d)) \cdot H_{15}$$



Wall insulation compound system:



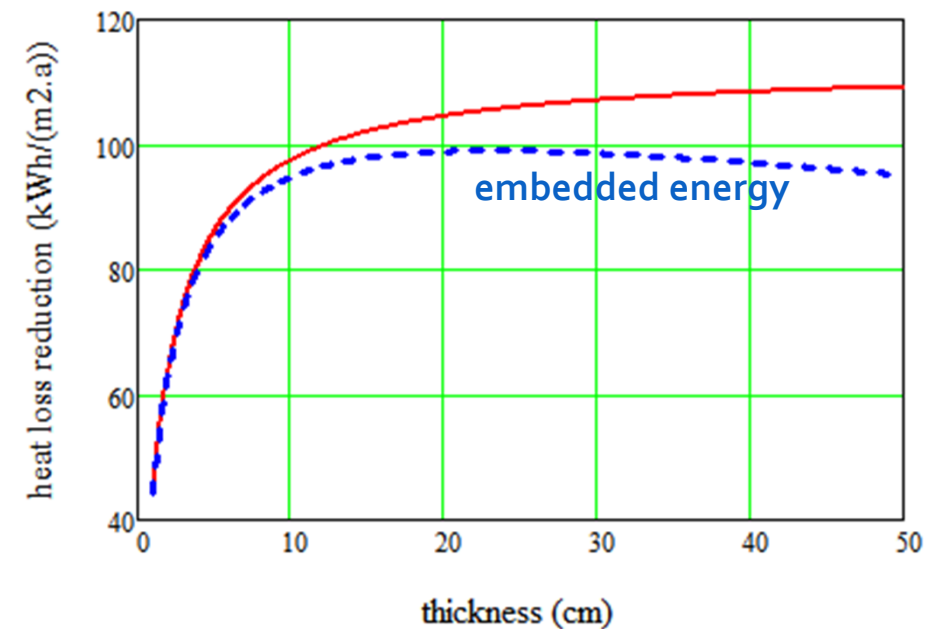
Costs to save 1 kWh of end energy (gas, oil) by envelope insulation:



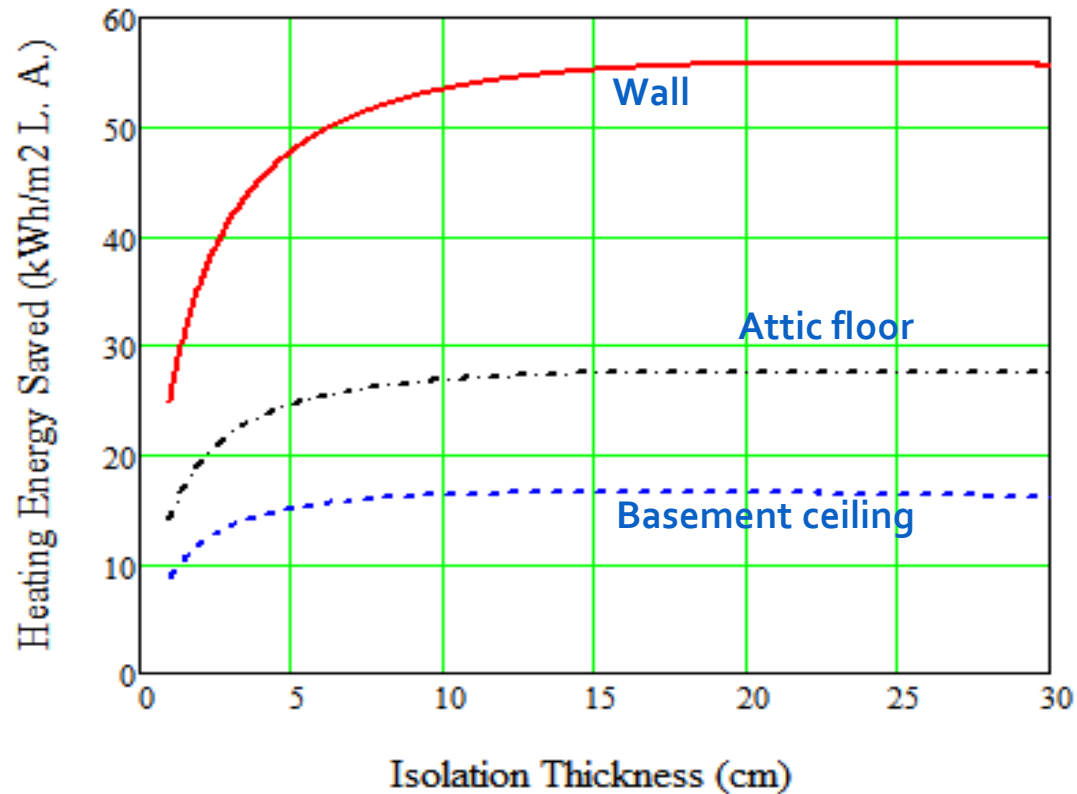
Embedded energy of insulation materials: 300 – 1.000 kWh/m³

$$e(d) = \frac{1000}{24} \cdot \frac{(k \cdot d + D) \cdot a}{HDD \cdot (U_0 - U(d))} \quad [\text{ct/kWhth}]$$

HDD ... degree days (KA: 2,053 Kd)



Envelope heat losses: (related to use area)

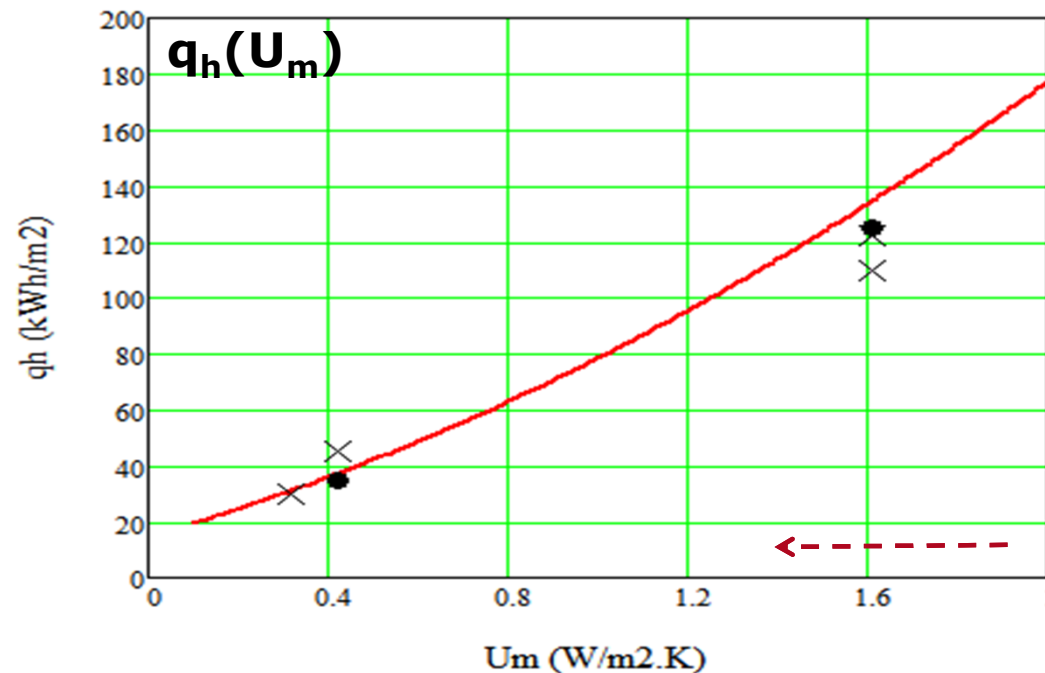


Wall insulation:
- more expensive
- more effect

⇒ Thickness beyond 15 – 18 cm useless!
(climate of KA)



Annual heating demand q_h : $q_h = \frac{24}{1000} \cdot \frac{(A_{\text{env}} \cdot U_m + 0.20 \cdot V_i)}{A_{\text{LA}}} \cdot \text{HDD}(U_m) \text{ kWh/m}^2$



(proxy formula)

$$\Delta \text{HDD} \sim 200 \cdot \Delta t_{\text{HL}}$$

... continuous increase
of insulation thickness
(wall, basement ceiling,
attic floor)

Measured q_h values of 3 buildings
before and after retrofit

(windows replacement: *double low-E panes*)

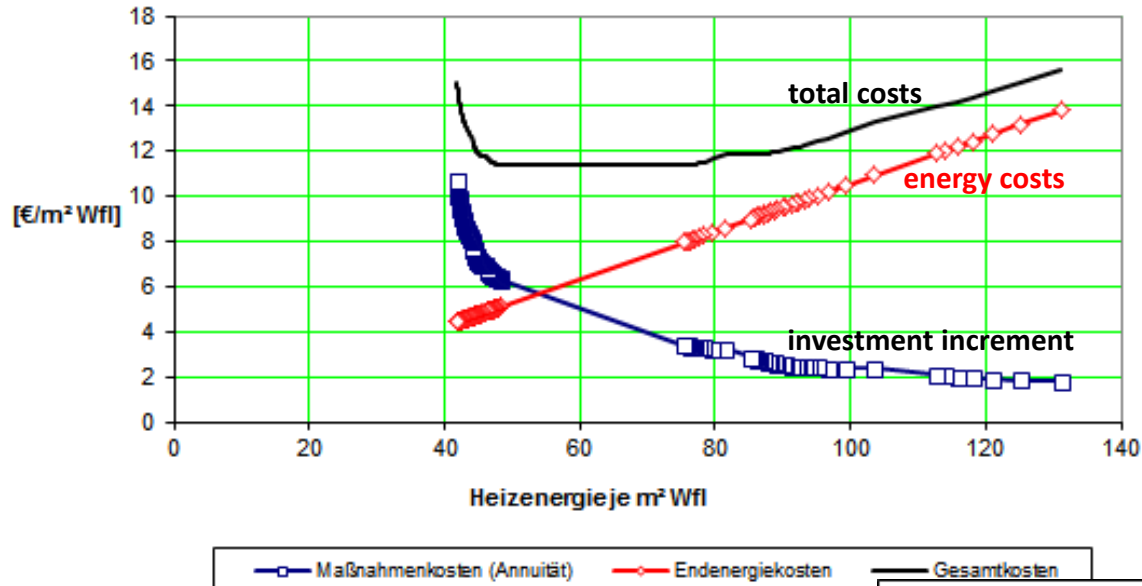
Search for *least-cost path*:

Investment with highest cost-efficiency $\rightarrow \min \left\{ \frac{\Delta I_A}{\Delta U_m}, \frac{\Delta I_A}{\Delta U_m}, \frac{\Delta I_A}{\Delta U_m} \right\}$
 $\Delta U_m \rightarrow \Delta q_h$

„V_ROM“ – model:

Automatic search for least-cost curve of envelope insulation

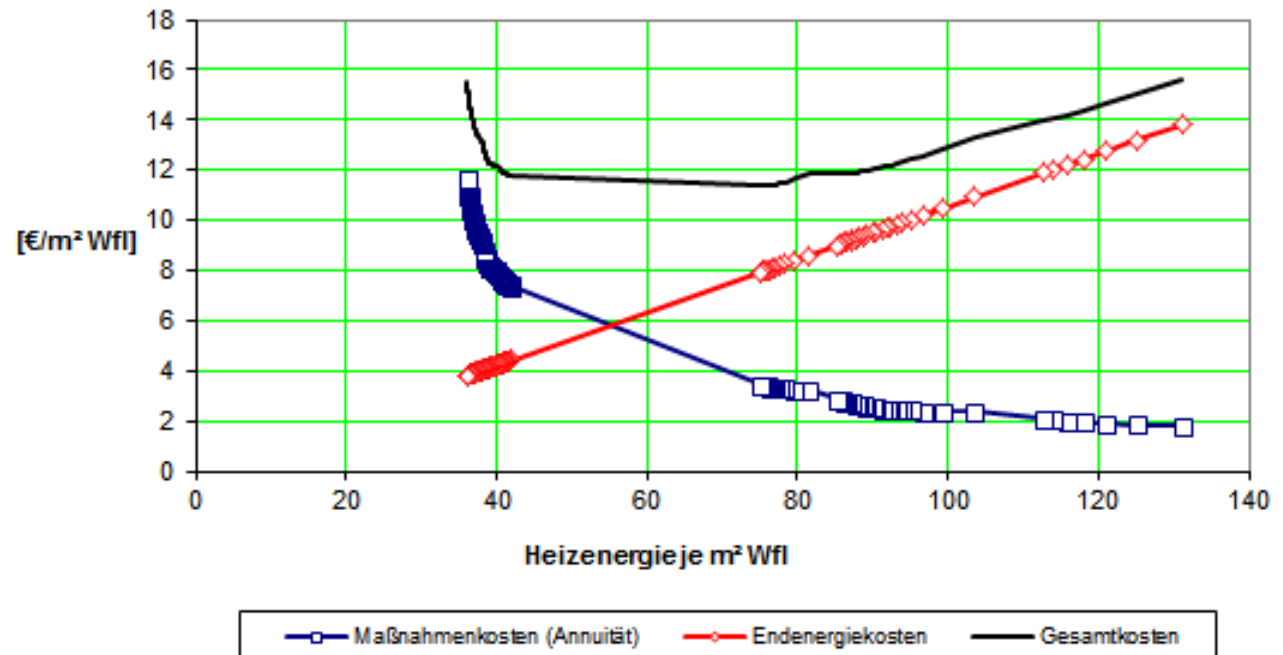
Total costs, heating energy



*typical results of V_ROM run
(here: LCA)*

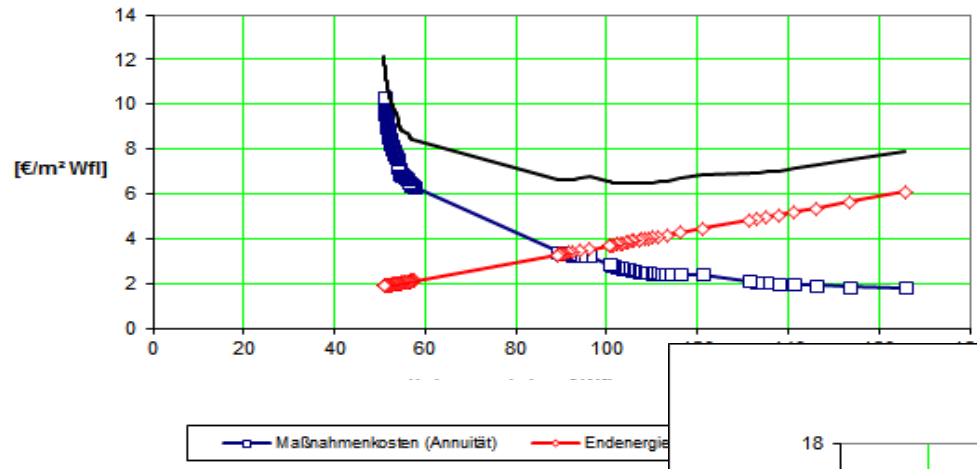
70 $\text{€}/\text{MWh}_{\text{Hi}}$,
double-glazed lowE windows,

Total costs, heating energy



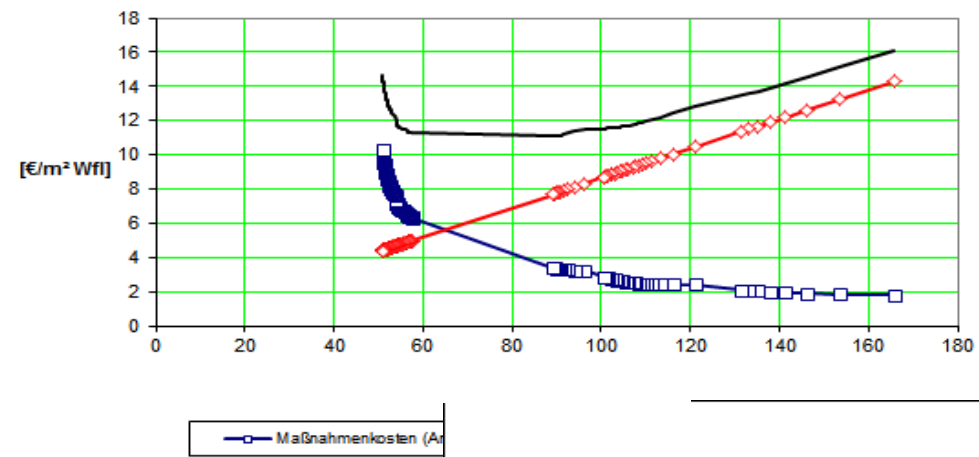
70 $\text{€}/\text{MWh}_{\text{Hi}}$,
PH windows

Total costs, end energy 30 €/MWh

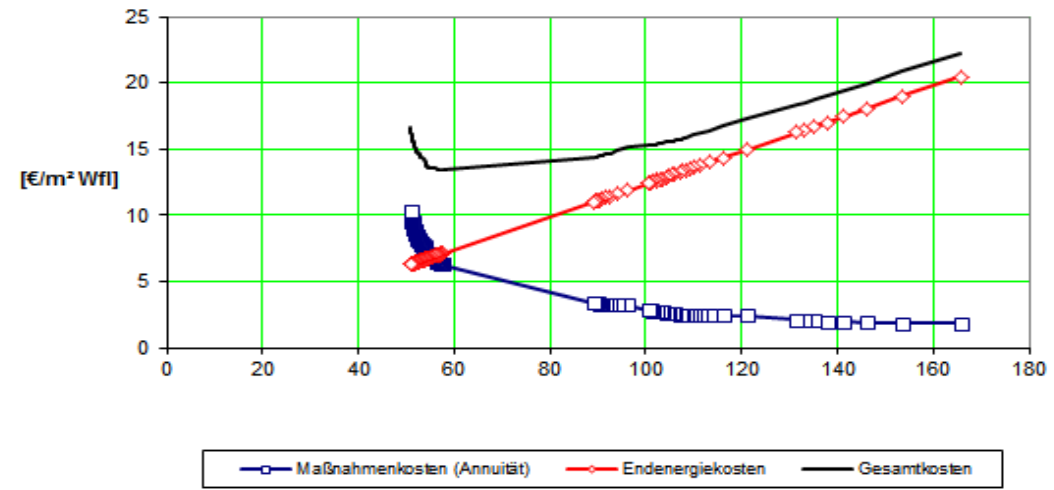


**Least-cost combination:
depends on energy price level**

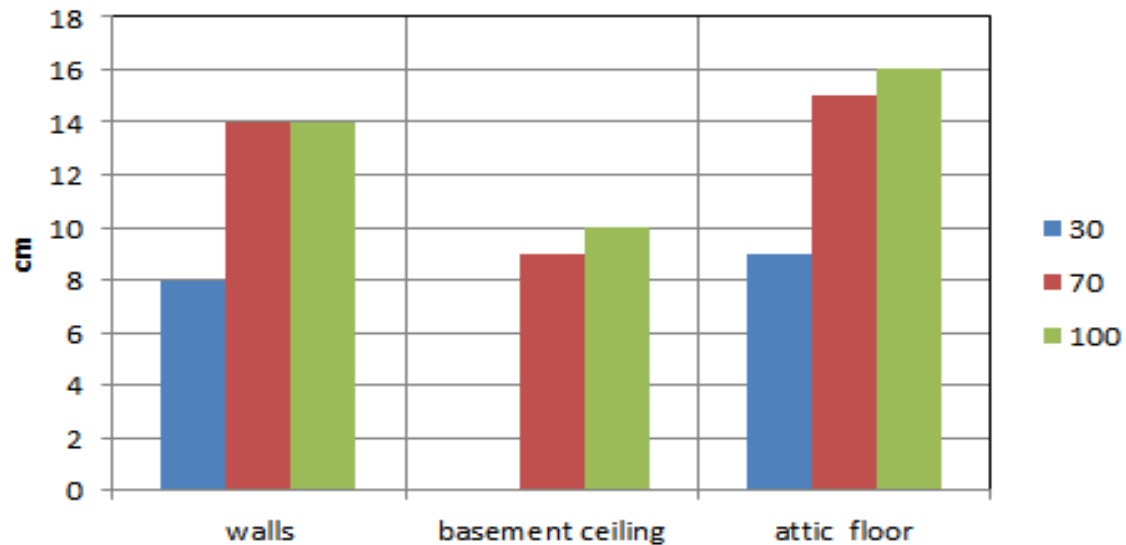
70 €/MWh



100 €/MWh



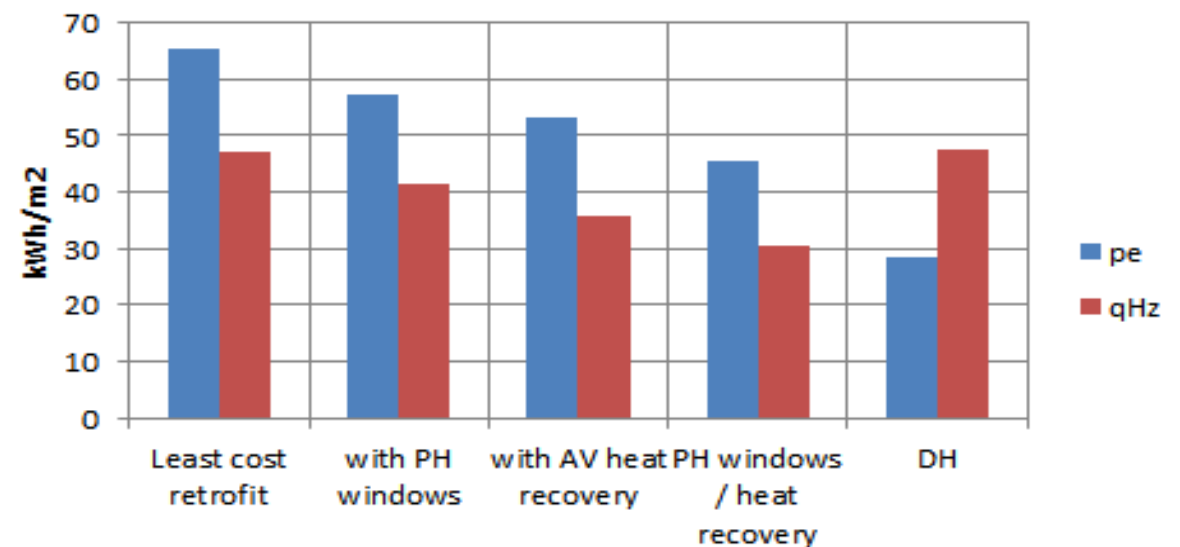
Least-cost insulation thickness



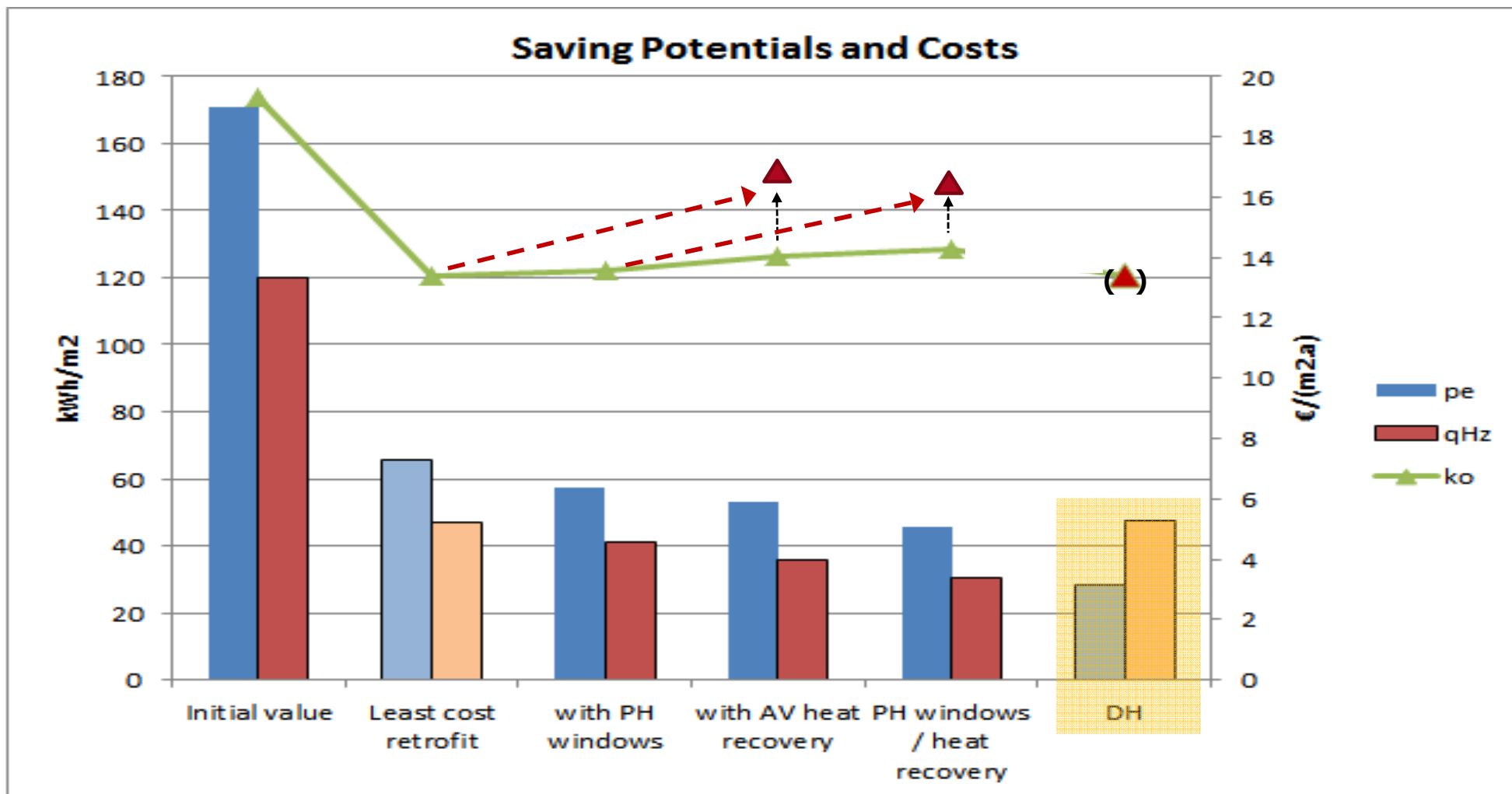
Optimized insulation thickness and energy prices

Additional saving options:

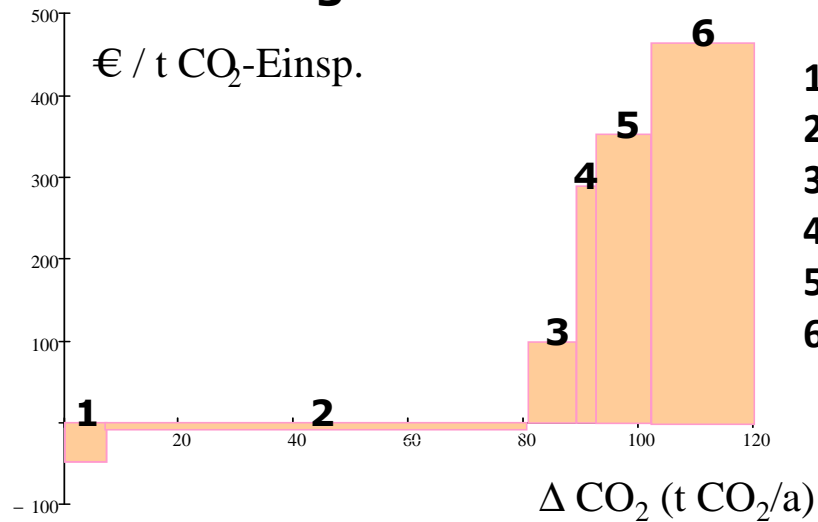
Energy Saving Options



Building energy saving potentials and total costs (energy price: 100 €/MWh)



GHG savings vs additional costs:

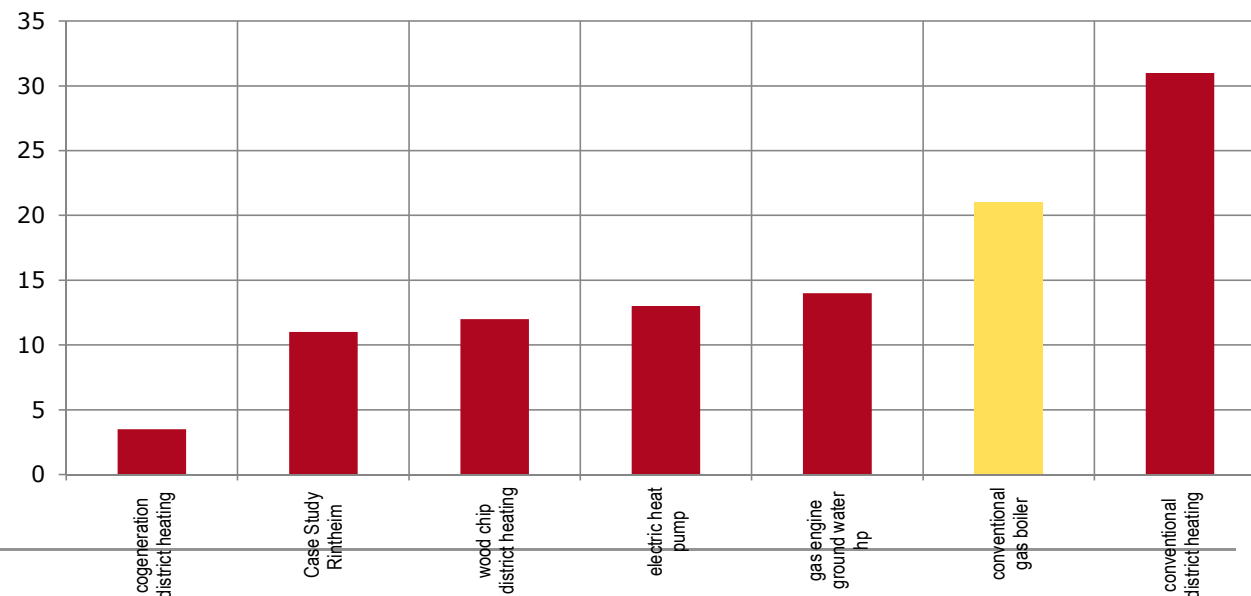


- 1: room control
- 2: envelope retrofit (incl. windows)
- 3: ventilation / heat recovery
- 4: PV panel (6,5 kWp)
- 5: Solar collectors
- 6: Passivhaus standard

**Multi-family building: 50 years, 230 kWh_{PE}/m²
(36 flats, 9 floors)**

**For economic optimization,
employ neighborhood approach!
(equal savings, lesser costs)**

Specific GHG emissions of DH alternatives



Optimization (2)

Local District Heating

Energy Supply Concept:

DH supply (CHP plus waste heat)

Construction period: 2008-2012
 length of DH pipes 2,6 km
 costs 1,7 Mio. €

Energy demand development:
 1997 14.200 MWth/a
 2009 11.470 MWth/a
 until 2015 6.200 MWth/a

before retrofit :

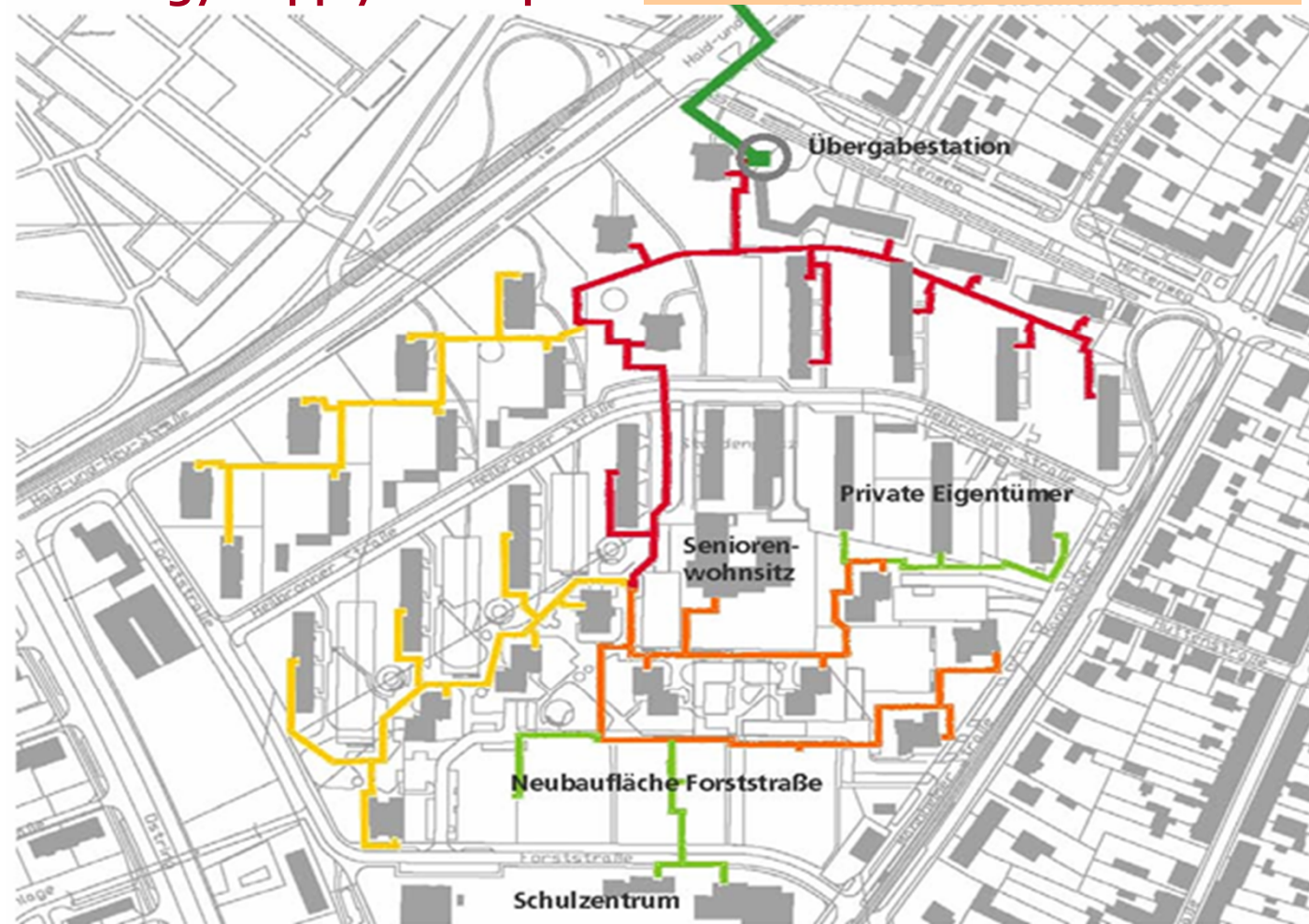
- 210 kWhPE/m²
- 42 kg CO₂/m²

*after retrofit
 (with conv. gas):*

- 103 kWhPE/m²
- 25 kg CO₂/m²

after retrofit (with DH)

- 41 kWhPE/m²
- 16 kg CO₂/m²



> Nahwärmenetz „Rintheimer Feld“ der VOLKSWOHNUNG / KES

— 1. Bauabschnitt — 2. Bauabschnitt — 3. Bauabschnitt — späterer Ausbau

District Heating pipes:

\varnothing 50 mm

$d_{\text{insul}} = 5$ cm

100 cm below ground

70/40 deg. C

18 W/m total losses



Key figures and DH cost structures:

Annuity : 20 years depreciation time

grid length	$L = 2.600 \text{ m}$		
specific costs	$c_{sp} = 600 \text{ €/m}$		
grid costs		$c_G = \text{Inv} \cdot \text{ann} / q_a =$	11,3 €/MWh
grid losses	$v_G = 0,13$		
		$c_L = v_G \cdot \text{AP} =$	5,0
auxiliary energy	$e_A = 10 \text{ kWhel/MWhth}$		
		$c_A =$	2,5
maintenance	0,05 % of investment		
		$c_M =$	7,7
Overhead		$c_O =$	4,0
upfront costs		$c_U =$	6,5

Energy supply after full connections:

$$Q_a = 6.200 \text{ MWhth/a} \rightarrow q_a = 2,4 \text{ MWh/m}$$

Energy price:

$$\text{AP} = 38,44 \text{ €/MWhth}$$

$$\text{LP} = 21,21 \text{ €/kWth}$$

full load hours: 1.900 h/a

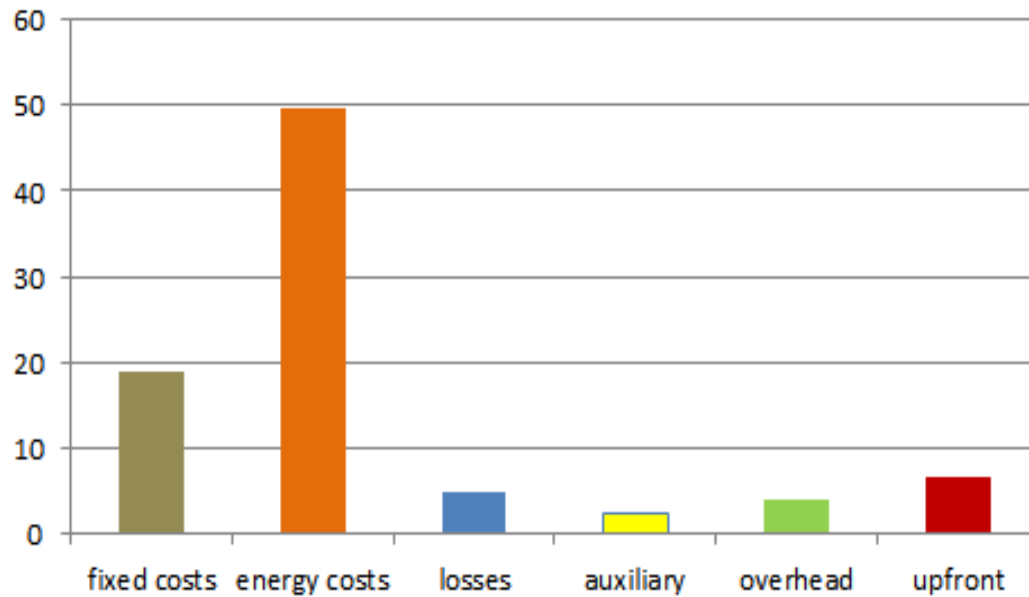
→ Energy costs:

$$c_E = \text{AP} + \text{LP}/1,9 = 49,6 \text{ €/MWhth}$$

Total costs of thermal energy:

$c_E =$	49,6
$c_{\text{Grid}} =$	37,0
	86,6 €/MWhth (incl. VAT)

Structure of DH costs Rintheim (€/MWhth)



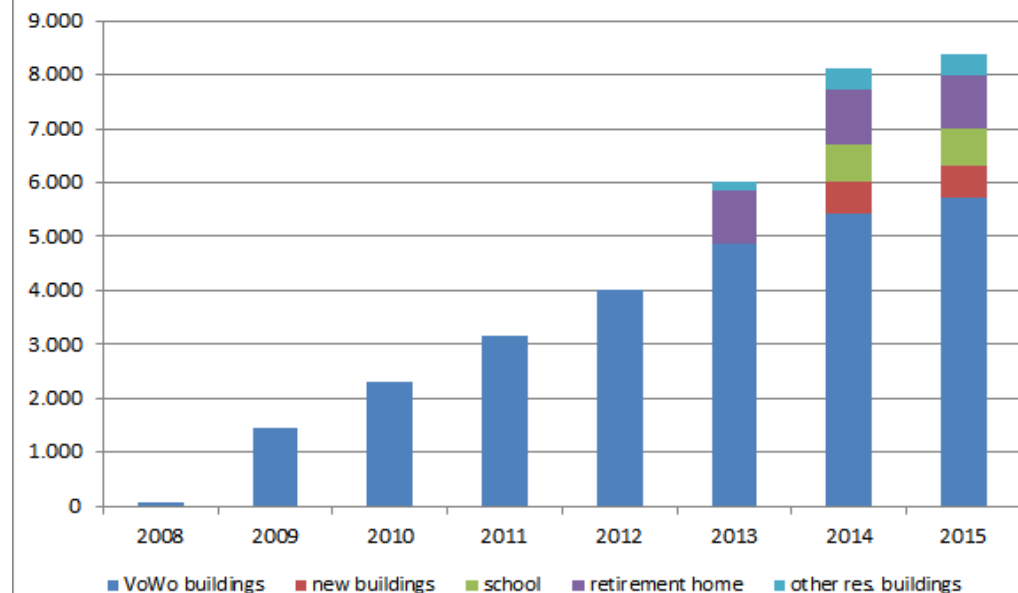
Total DH costs:

- **86,6 €/MWhth** (incl. VAT)
- 20 years depreciation time

DH connections during 6 years

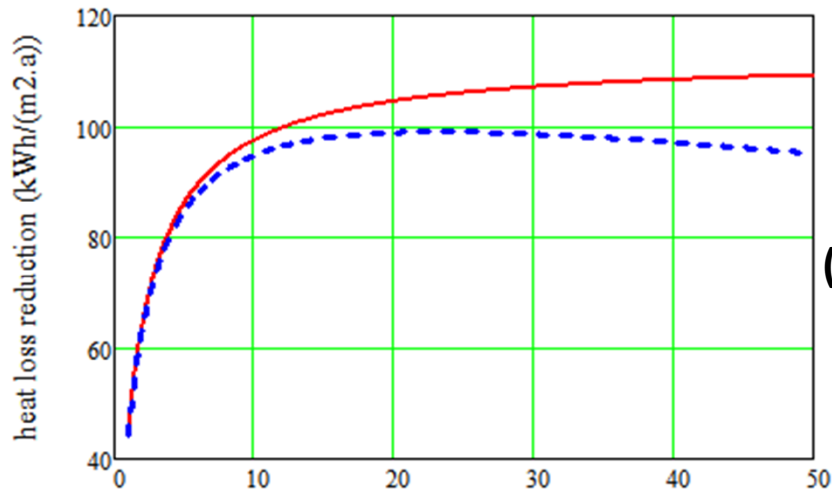
Grid investments: Volkswohnung
Grid operation: Stadtwerke (local utility)

DH development Rintheim 2008-2015 (MWh/a)

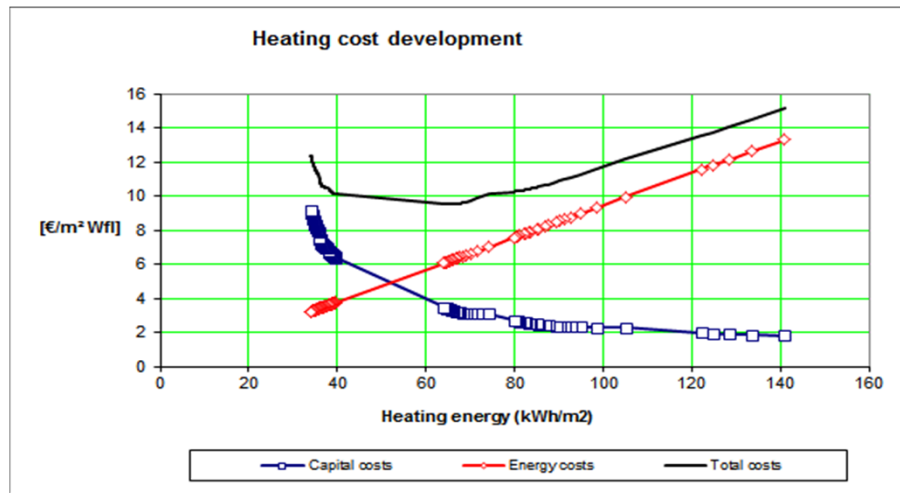


Conclusions?

Optimized insulation thickness?

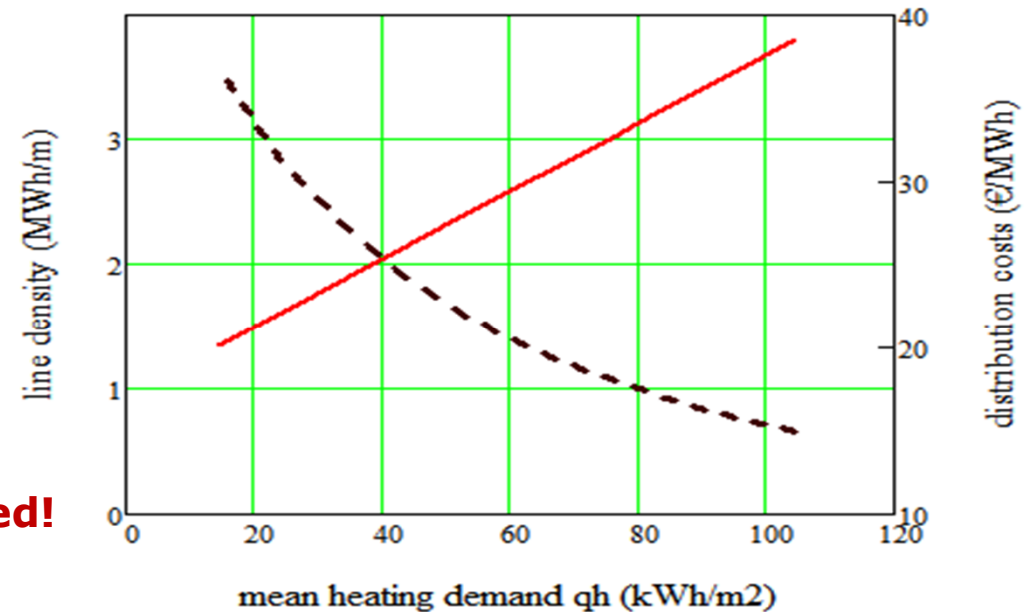


(1) Considering embedded energy, there is a limit of 20 – 30 cm (XPS foam: ~ 1,100 kWh/m³)



(2) For building insulation, there is an economic limit of 12 – 20 cm

(3) For neighbourhood scale heating schemes, there is an economic limit of energy density



Conclusion: Holistic system optimization required!

Investment cost and Return of Investment

Resulting (real) costs:

Energy retrofit investments:

- 237 €/m² (incl. VAT) → 1,00 €/(m².month)

- retrofit subsidy (KfW): 35 €/m² → 0,15 €/(m².month)

Δr = (LCA!) 0,85 €/(m².month)

Result:

	rent	thermal	total
<i>before retrofit</i>	4,00	1,50	5,50
<i>after retrofit</i>	4,85	0,53	5,38

Variation of energy costs:

(1) $q_{th_old} = 170 \text{ kWh}_{th}/\text{m}^2$

(2) $q_{th_new} = 70 \text{ kWh}_{th}/\text{m}^2$

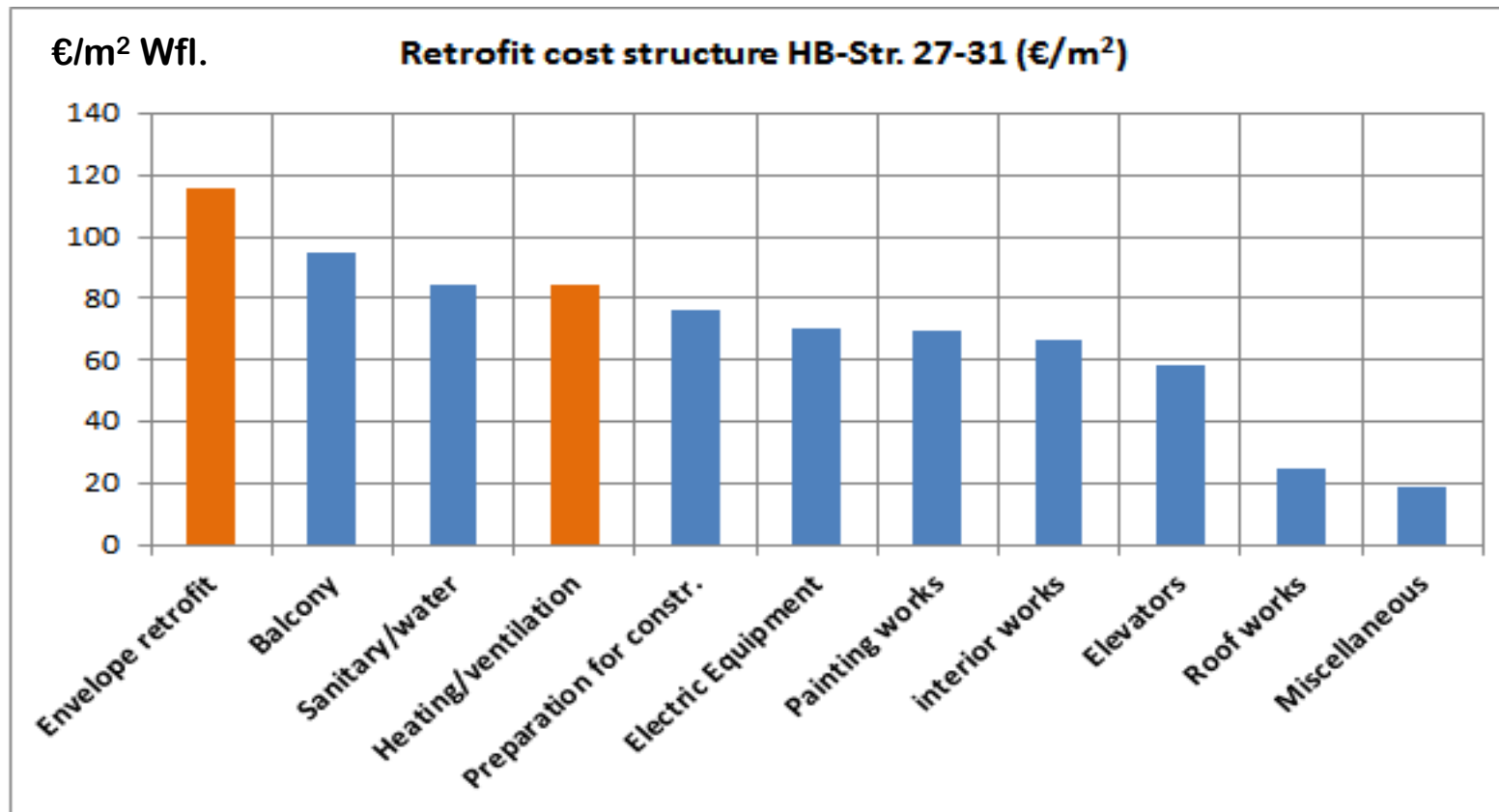
(3) energy price:

gas: 8,5 ct/kWh_{Hi}

DH: 8,7 ct/kWh_{th}

Result: Δc_E = 0,97 €/(m².month)

Energy Retrofit Measures: only one part of refurbishment task!



Resulting (real) costs:

Energy retrofit investments:

- 237 €/m² (incl. VAT) → 1,00 €/(m².month)

- retrofit subsidy (KfW): 35 €/m² → 0,15 €/(m².month)

Δr = (LCA!) 0,85 €/(m².month)

Result:

	rent	thermal	total
<i>before retrofit</i>	4,00	1,50	5,50
<i>after retrofit</i>	4,85	0,53	5,38

„Other refurbishment“:

- 338 €/m² → 1,42 €/(m².month)

- resulting new rent: **6,27 €/(m².month)**

Variation of energy costs:

(1) $q_{th_old} = 170 \text{ kWh}_{th}/\text{m}^2$

(2) $q_{th_new} = 70 \text{ kWh}_{th}/\text{m}^2$

(3) energy price:

gas: 8,5 ct/kWh_{Hi}

DH: 8,7 ct/kWh_{th}

Result: Δc_E = 0,97 €/(m².month)

Resulting (real) costs:

Energy retrofit investments:

- 237 €/m² (incl. VAT) → 1,00 €/(m².month)

- retrofit subsidy (KfW): 35 €/m² → 0,15 €/(m².month)

Δr = (LCA!) 0,85 €/(m².month)

Result:

	rent	thermal	total	real
<i>before retrofit</i>	4,00	1,50	5,50	
<i>after retrofit</i>	4,85	0,53	5,38	6,70

Variation of energy costs:

(1) $q_{th_old} = 170 \text{ kWh}_{th}/\text{m}^2$

(2) $q_{th_new} = 70 \text{ kWh}_{th}/\text{m}^2$

(3) energy price:

gas: 8,5 ct/kWh_{Hi}

DH: 8,7 ct/kWh_{th}

Result: Δc_E = 0,97 €/(m².month)

„Other refurbishment“:

- 338 €/m² → 1,42 €/(m².month)

- resulting new rent: **6,27 €/(m².month)**

Result depends on

- retrofit cost
- energy demand before retrofit
- energy price
- user behaviour

Energy Balance:

Global Calculation Method

Technical options for Energy Supply Technologies

- condensing boiler
- air ventilation heat recovery
- solar collectors (detached: DHW or central)
- heat pumps (electric; gas)
- cogeneration
 - detached
 - neighborhood scale
 - district scale
- waste heat?

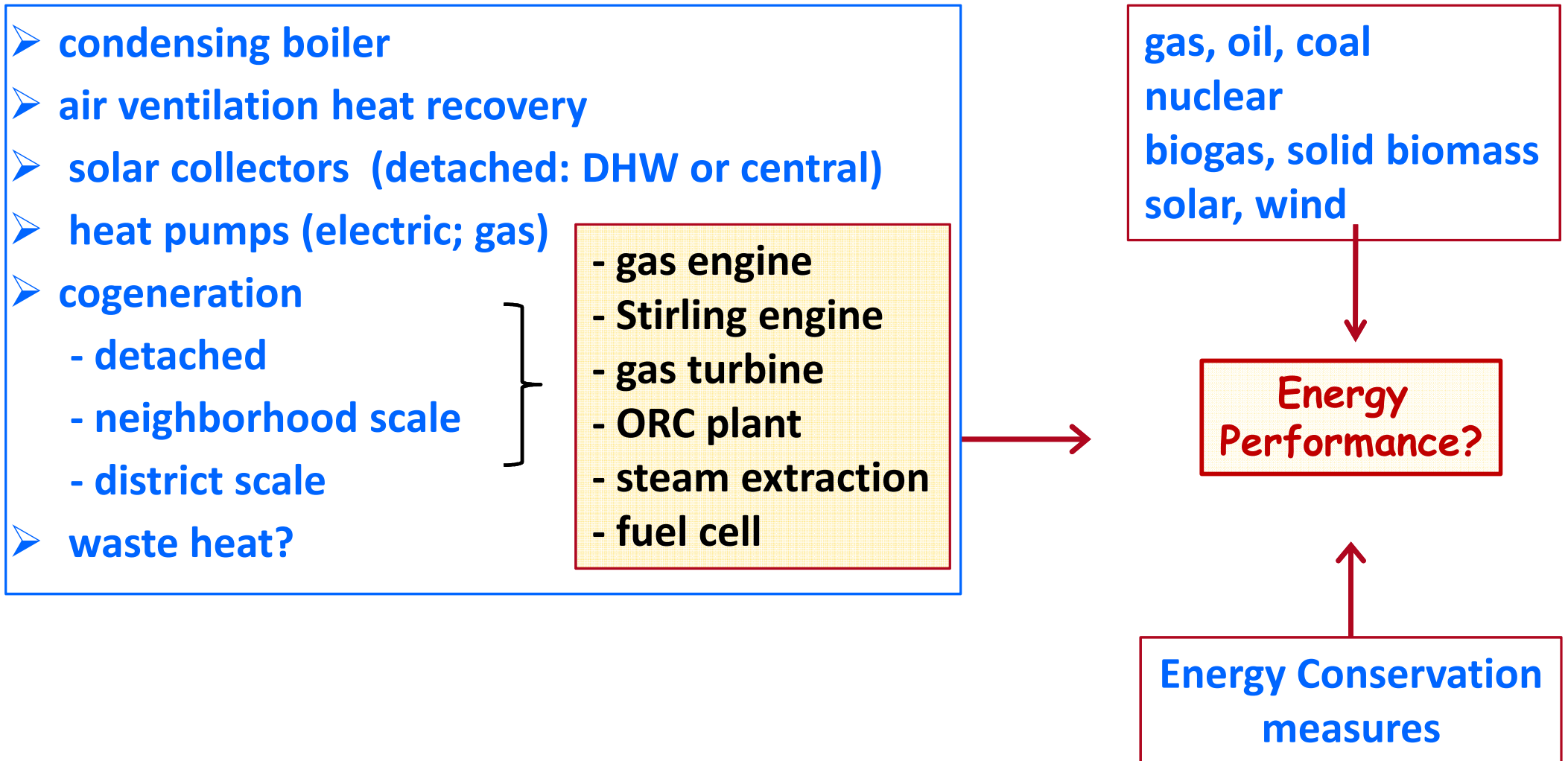
- gas engine
- Stirling engine
- gas turbine
- ORC plant
- steam extraction
- fuel cell

Energy sources:

gas, oil, coal
nuclear
biogas, solid biomass
solar, wind

Energy
Performance?

Energy Conservation
measures



**General Formula for
*Neighborhood Primary Energy Performance pe ??***

$$pe_s = (q_{th} - q_s) \cdot [e_s \cdot f_{EE} + (e_a + e_p) \cdot f_{el}] - pe_{PV}$$

Step 1: Building energy performance pe ?

- (1) Building thermal energy use: q_{th} [kWh_{th}/m²]
- (2) End energy performance: e_{EE} [kWh_{EE}/kWh_{th}]
- (3) Energy carrier(s): fossil energy f_{EE} [kWh_{PE}/kWh_{EE}]

$$pe_{PE} = q_{th} \cdot e_{EE} \cdot f_{EE} \text{ [kWhPE/m}^2\text{]} \rightarrow \text{minimum!}$$

Simplest example:

Performance e_{EE} of conventional / condensing gas boiler

efficiency η_B : $\eta_B = \frac{q_{th}}{EE}$ [kWhth/kWhEE]

performance e_B : $e_B = \frac{EE}{q_{th}} = \frac{1}{\eta_B}$ [kWhEE/kWhth]

	conv. boiler	condens. boiler	
η_B	0,84	0,94	kWhth/kWhEE
e_B	1,19	1,06	kWhEE/kWhth

Boiler: Primary Energy Performance e_{B_PE}

$$e_{B_PE} = e_B \cdot f_{Gas} \text{ [kWhPE/kWhth]}$$

($f_{Gas} = 1,10 \text{ kWhPE/kWhEE}$)

Building Energy Performance pe_{PE} (boiler):

$$pe_{PE} = q_{th} \cdot e_B \cdot f_{Gas} \text{ [kWhPE/m}^2\text{]}$$

$$q_{th} = q_h + q_{DHW} + q_{I_h} + q_{I_DHW} \text{ [kWhth/m}^2\text{]}$$

q_h ... heating energy use

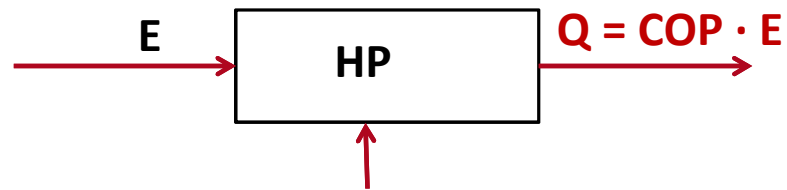
q_{DHW} ... domestic hot water use

q_{I_h} ... heating system distribution losses

q_{I_DHW} ... DHW distribution losses

Electric heat pump **COP** (kWhth/kWhel):

$$\text{COP} = \frac{T_c}{T_c - T_e} \cdot \eta_{\text{HP}} \quad [\text{kWhth/kWhel}]$$

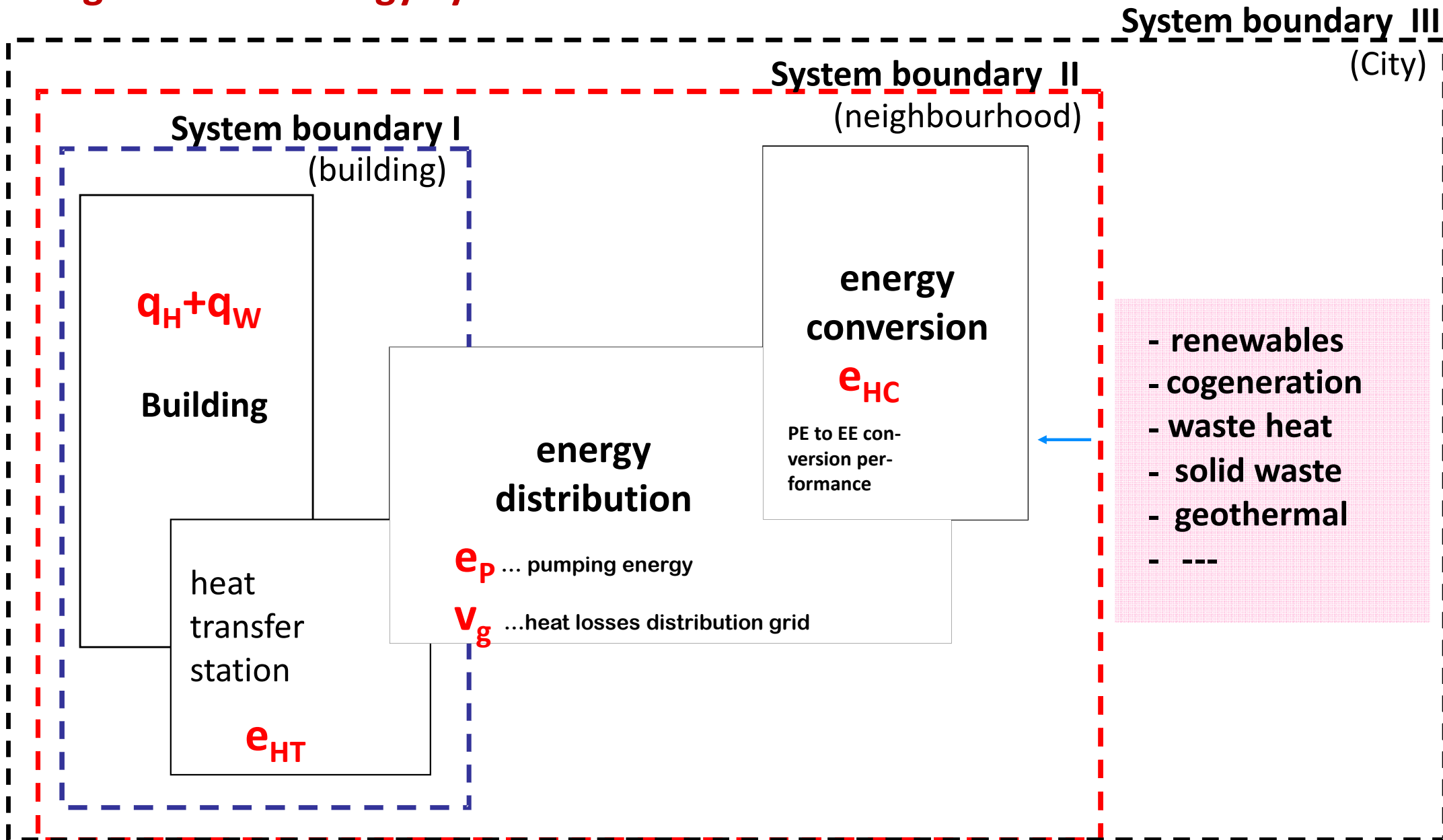


$$e_{\text{HP}} = \frac{f_{\text{el}}}{\text{COP}} \quad [\text{kWhPE/kWhth}]$$

$$f_{\text{el}} \sim 2 - 2,5 \text{ kWhPE/kWhel}$$

$$\text{COP} = 3,7 \rightarrow e_{\text{HP}} = 2,47/3,7 = \mathbf{0,67 \text{ kWhPE/kWhth}}$$

Neighborhood Energy System



Energy performance of various energy systems:

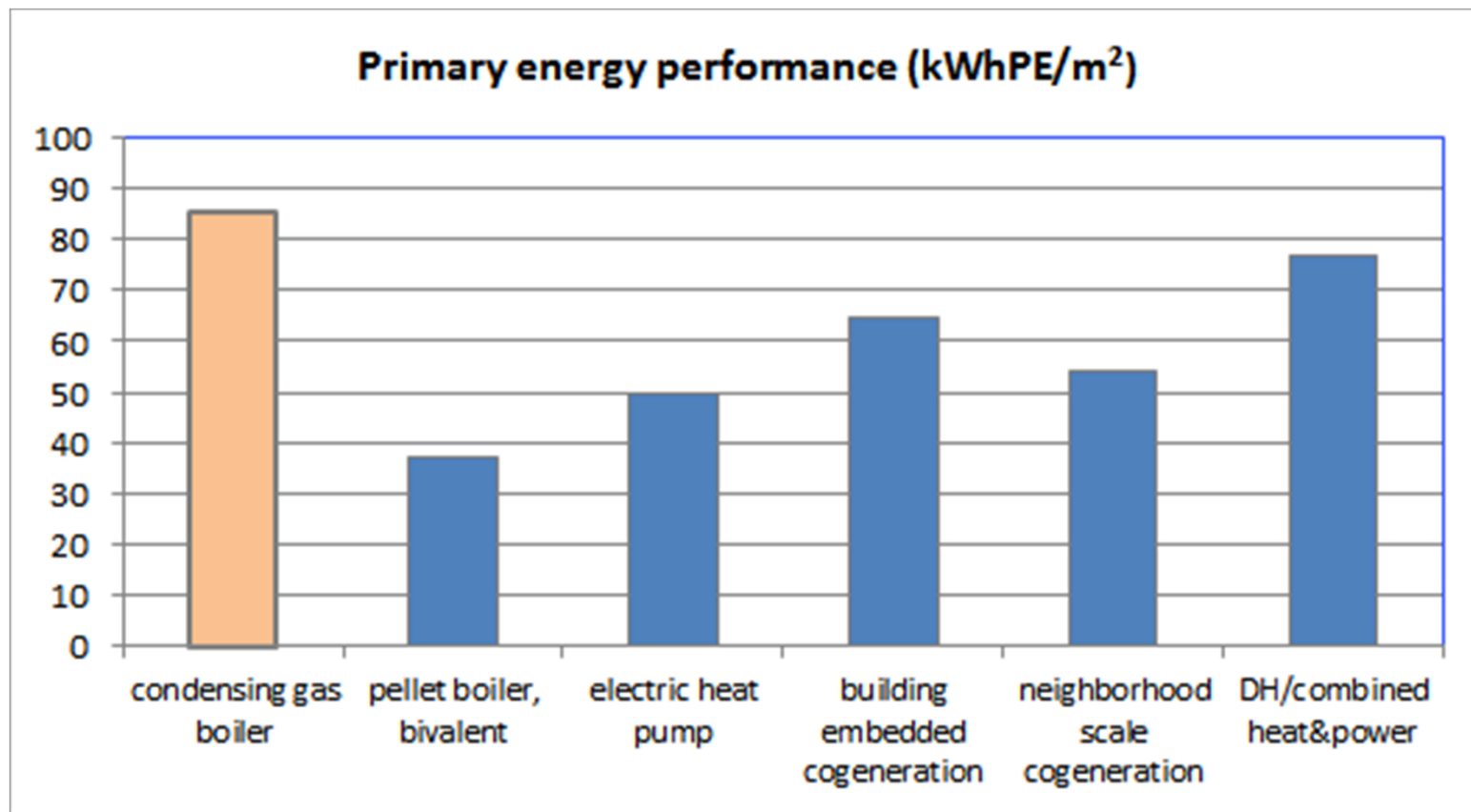
	Boiler	electric heat pump	Cogeneration unit	gas-driven heat pump	combined heat and power plant
	η_B	COP	η_{cog}, S_{cog}	η_M, S_M, ε	σ
$e_S:$	$e_B = \frac{1}{\eta_B}$	$e_{HP} = \frac{f_{el}}{COP}$	$\frac{1+s}{\eta_{cog}} - \frac{s}{\eta_{el}}$	$\frac{1+s}{\eta_M \cdot (1+s \cdot \varepsilon)}$	$\frac{\sigma}{\eta_{el}}$

→ **General pe formula,**
 including base load / peak load,
 losses, auxiliary electricity and site generation (e.g. PV):

$$pe_S = (q_{th} - q_s) \cdot [e_S \cdot f_{EE} + (e_a + e_p) \cdot f_{el}] - pe_{PV}$$

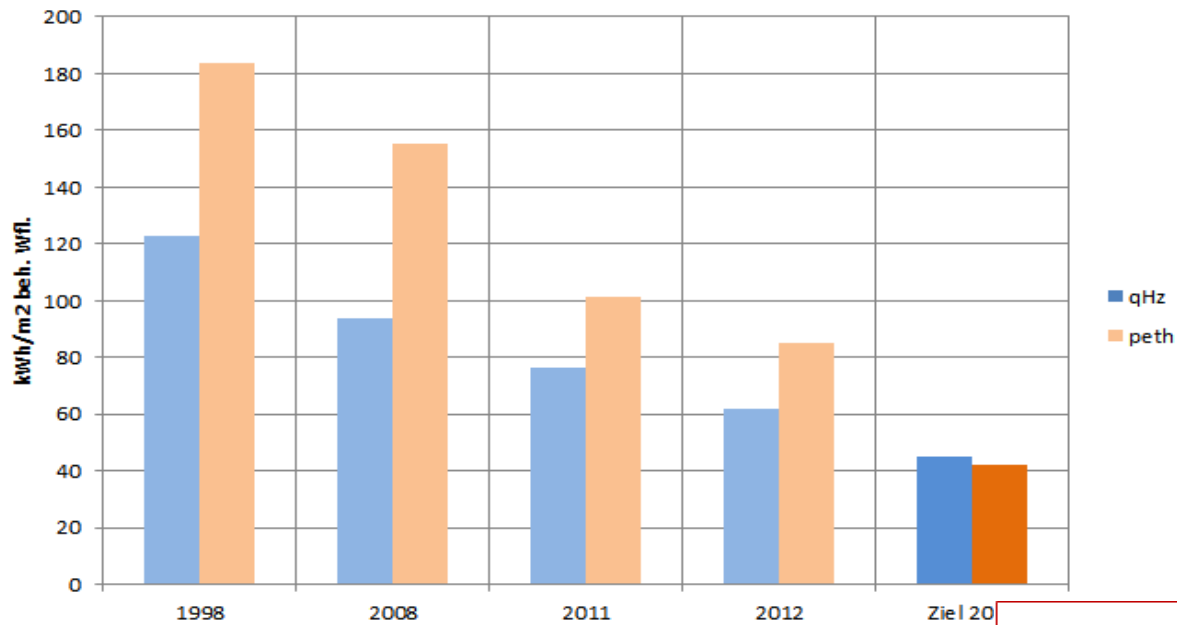
[kWhPE per m² usable building area]

Performance of various energy efficient technologies?



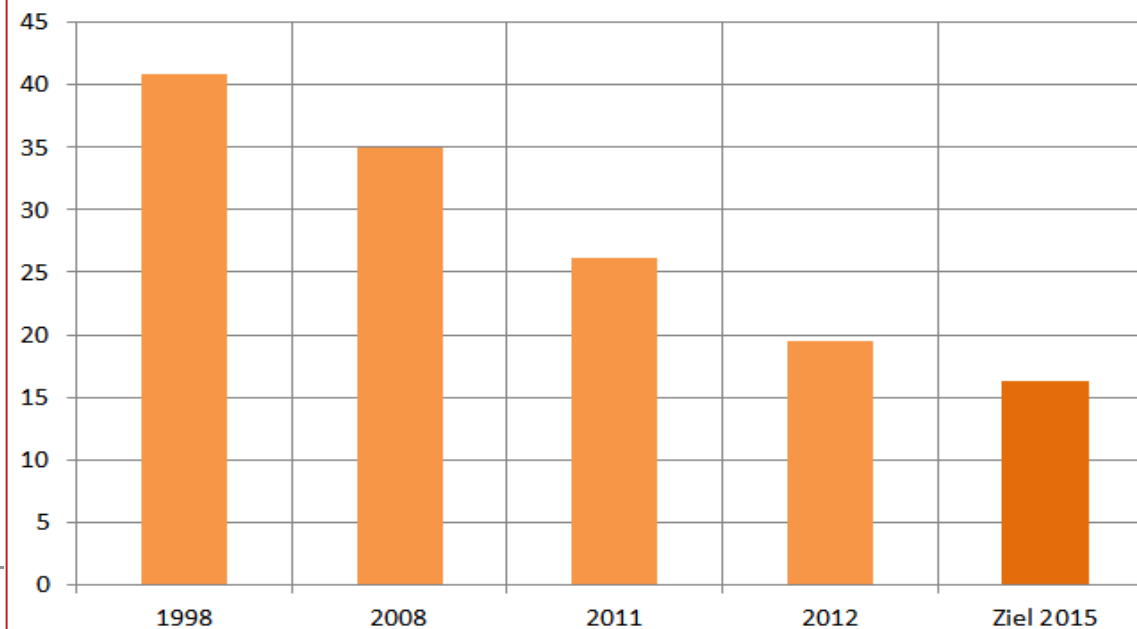
with $q_{th} = 70 \text{ kWh/m}^2$

Energy use Rintheim: q_{Hz} , PE (kWh/m²)



Rintheim:
 specific PE use, average 1998 – 2014
 measured: 2008, 2011 and 2012

CO₂-Equivalent emissions (kg CO₂/m²)



Key Messages (1)

- (1) Calculation of energy performance:
Simple math – but consistent figures required**
 - (2) one single formula does the job**
 - (3) most efficient energy systems 50 % better than conventional boiler**
 - (4) specific PE and CO₂ factors country dependent**
-

Unconventional Technologies

Two „Pilot Buildings“

„novel techniques“??

VIP, ventil./heat rec., SC, Micro-Pumps,
HP, LT DH, cogeneration, Pellet boilers, . . .

- **technologies there**
- **potentials high**
- **complexity grows**
- **performance??**

Examples:

- earth-coupled HP: $\varepsilon = 3,1 - 3,7 \rightarrow > 4,0 ??$
- ventil./heat rec.: $\varepsilon_{L\ddot{u}} = 12 - 4 (??)$
- SC yields : $450 - < 250 (??) \text{ kWh/m}^2$
- auxiliary electricity: $2 - 6 \text{ kWhel/m}^2 !$
- micro-pumps: $\Delta E_{el} \approx 80\%!$

Problems:

- Components \rightarrow whole system optimization?
 \rightarrow hydraulics, control, data logging
 - optimization phase : 1 – 2 years (!)
 - **monitoring system?**
- \rightarrow **goal:**
- robust performance
 - user acceptance

Key Messages (2)

- (1) Neighborhood scale energy improvement allows energy progress similar to PassivHaus standards ($\Delta E > 75\%$), but with lower cost**
- (2) In neighborhood scale refurbishment, building retrofit must be integrated with local energy system optimization**
- (3) Building energy retrofit must be combined with sustainable increase of neighborhood attractiveness**
- (4) Neighborhood scale development requires concerted action and commitments of local stakeholders**
- (5) Advanced technologies with high potential exist, but require**
 - improved system integration
 - continuous controlling
 - ongoing system optimization
 - knowledgeable planners and service providers